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# Different topological organization of human brain functional networks with eyes open versus eyes closed $\stackrel{\bigstar}{\sim}$

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## ABSTRACT

Opening and closing the eyes are a fundamental behavior for directing attention to the external versus internal 30 world. However, it remains unclear whether the states of eyes-open (EO) relative to eyes-closed (EC) are associ- 31 ated with different topological organizations of functional neural networks for exteroceptive and interoceptive 32 processing (processing the external world and internal state, respectively). Here, we used resting-state functional 33 magnetic resonance imaging and neural network analysis to investigate the topological properties of functional 34 networks of the human brain when the eyes were open versus closed. The brain networks exhibited increased 35 cliquishness and increased local efficiency, but lower global efficiency during the EO state. Together, these prop-36 erties suggest an increase in specialized information processing along with a decrease in integrated information 37 processing in EO (vs. EC). More importantly, the "exteroceptive" network, including the attentional system (e.g., 38 superior parietal gyrus and inferior parietal lobule), ocular motor system (e.g., precentral gyrus and superior 39 frontal gyrus), and arousal system (e.g., insula and thalamus), showed higher regional nodal properties (nodal 40 degree, efficiency and betweenness centrality) in EO relative to EC. In contrast, the "interoceptive" network, com- 41 posed of visual system (e.g., lingual gyrus, fusiform gyrus and cuneus), auditory system (e.g., Heschl's gyurs), so- 42 matosensory system (e.g., postcentral gyrus), and part of the default mode network (e.g., angular gyrus and 43 anterior cingulate gyrus), showed significantly higher regional properties in EC vs. EO. In addition, the connec- 44 tions across sensory modalities were altered by volitional eye opening. The synchronicity among visual system 45 and motor, somatosensory and auditory system characteristics of EC was attenuated in EO, and the connections 46 among visual system and attention, arousal and subcortical systems were increased in EO. These results may in- 47 dicate that EO leads to a suppression of sensory modalities (other than visual) to allocate resources to exterocep- 48 tive processing. Our findings suggest that the topological organization of human brain networks dynamically 49 switches corresponding to the information processing modes as we open or close our eyes. 50

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### Introduction

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While vision has featured centrally in prominent scientific theories 57 of consciousness (Crick and Koch, 2003), we spend a considerable por-58 tion of our lives with our eyes closed, thereby attenuating the potential 59 contributions of vision. Interestingly, a recent study suggested that mo-60 mentary closing of the eyes (blinking) not only occurs more often than 61 would be necessary for ocular lubrication, but that these blinks are asso-62 ciated with subtle shifts in neural activity (Nakano et al., 2013). While 63 awake, awareness shifts based on whether our eyes are open or closed; 64

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awareness has been described as "exteroceptive" when the eyes are 66 open (EO) and "interoceptive" when the eyes are closed (EC). These states correspond to focus on the "outside" versus the "inside", respec-67 tively, and each has different psychophysiological characteristics and underlying brain mechanisms (Marx et al., 2003).

Compared to EC, an increased attentional load and raised level of 70 arousal is present in EO (Hufner et al., 2009). The differences attributable 71 72to these states may have more to do with the simple processing of visual 73 information; even in the darkness, where little to no visual input is 74present, these two states reveal distinct neural activation patterns 75(Hufner et al., 2009). Attentional and oculomotor systems (e.g., superior parietal gyrus and frontal eye fields) show activation in EO, while senso-76ry systems (e.g., visual, auditory, and somatosensory) show activation in 7778 EC (Bianciardi et al., 2009; Hufner et al., 2008, 2009; Marx et al., 2003, 2004; McAvoy et al., 2008; Niven and Laughlin, 2008). These findings 79 suggest two different states of mental activity: an "exteroceptive" state 80 characterized by overt attention and ocular motor activity (during EO) 81 and an "interoceptive" state characterized by imagination and multisen-82 sory activity (during EC) (Hufner et al., 2009; Marx et al., 2004). The cor-83 responding differences of spontaneous neural activity between these 84 two states have been characterized in previous resting-state functional 85 magnetic resonance imaging (R-fMRI) studies (Bianciardi et al., 2009; 86 87 McAvoy et al., 2008; Yan et al., 2009; Yang et al., 2007; Zou et al., 2009).

More recently, an R-fMRI study manipulated both eyes open/closed 88 and lights on/off. In this study, there were significant differences be-89 tween EO and EC in both spontaneous brain activity and functional con-90 nectivity but no differences in whole brain topological organization 9192other than connection distance (i.e., the Euclidean distance between 93 each pair of regional nodes) (Jao et al., 2013). Given that the topological 94 properties of human brain networks have shown correlations with var-95ious cognitive functions and pathologies (Bullmore and Sporns, 2009; 96 He and Evans, 2010), it is curious that there were widespread influences 97 of EO and EC on the spontaneous activity and connectivity but not on 98 the topological organization of the networks (Jao et al., 2013).

Given that there are critical influence of different acquisition param-99 eters and analytic strategies in R-fMRI data but lacking consensus about 100 the best way to deal with it (Murphy et al., 2009; Wang et al., 2009; Wig 101 102 et al., 2011; Zuo et al., 2013), we acquired human R-fMRI data and constructed whole brain functional networks with different brain 103 parcellation templates and presence/absence of global signal regression 104 (GSR) to compare topological parameters (e.g., small-world, network 105 106 efficiency and nodal efficiency) of brain networks between the EO and EC states. We hypothesized that the "exteroceptive" state and the 107 "interoceptive" state were associated with different topological organi-108 zations of brain networks corresponding to different information pro-109 cessing modes. Specifically, we predicted that there would be an 110 111 "exteroceptive" network, characterized by attention and ocular motor system during EO, and an "interoceptive" network characterized by 112 imagination and multisensory system during EC. 113

#### Materials and methods 114

#### 115Subjects

Twenty-three right-handed healthy volunteers (11 females; mean 116 age  $\pm$  SD, 20.17  $\pm$  2.74 years) participated in this study. All partici-117 118 pants were undergraduate/graduate students and had no history of neurological and psychiatric disorders or head injury. Written informed 119 consent was obtained from each participant prior to the MRI acquisition. 120The study was approved by the Institutional Review Board of Beijing 121Normal University. 122

#### Data acquisition 123

MRI data were acquired on a Siemens Trio 3 T MRI scanner powered 124125 with a total imaging matrix technique at the Imaging Center for Brain

Research, at Beijing Normal University. Both the R-fMRI and high resolu- 126 tion 3D structural brain data were obtained using a 12-channel phased- 127 array receiver-only head coil with the implementation of parallel imaging 128 scheme GRAPPA (GeneRalized Autocalibrating Partially Parallel Acquisi- 129 tions) (Griswold et al., 2002). For scanning, we selected the acceleration 130 factor 2. The R-fMRI data were acquired using gradient-echo echo- 131 planar imaging (EPI). The sequence parameters were as follows: 132 TR = 3000 ms, TE = 30 ms, slice thickness = 3.5 mm with no gap, 133 flip angle = 90°, FOV = 224 mm  $\times$  224 mm, data matrix = 64  $\times$  64, 134 interleaved 40 transversal slices giving spatial coverage 140 mm and 135 160 volumes. Each subject underwent the R-fMRI scans in two runs, 136 EC state and EO state, each lasting 8 min. The order of the R-fMRI data 137 acquisitions (corresponding to the two states) was counterbalanced 138 across all subjects. In addition, we also acquired the 3D high-resolution 139 brain structural images (1 mm<sup>3</sup> isotropic) for each subject using a 140 T1-weighted MP-RAGE sequence. The sequence parameters were 141 TR/TE = 1900 ms/3.44 ms, flip angle =  $9^{\circ}$ , data matrix =  $256 \times 256$ , 142  $FOV = 256 \text{ mm} \times 256 \text{ mm}$ , BW = 190 Hz/pixel, and 176 images 143 along sagittal orientation, obtained in about 6 min. 144

## Data preprocessing

The data preprocessing was conducted using SPM8 (http://www.fil. 146 ion.ucl.ac.uk/spm/) and DPARSF (Yan and Zang, 2010). For each subject, 147 the two R-fMRI runs (EO and EC) were processed separately. For each 148 run, the first 10 volumes were discarded to account for the MR signal 149 equilibration. The remaining functional images were first corrected for 150 timing, and then realigned to the first volume to correct for head motion, 151 which did not exceed 2.0 mm of displacement or 2.0° of rotation in any 152 direction, in any subject. To account for the influence of head motion on 153 R-fMRI (Mowinckel et al., 2012; Power et al., 2012; Satterthwaite et al., 154 2012; Van Dijk et al., 2012), the root mean squares of both overall 155 head displacement and head rotation were calculated under EO and 156 EC, and no significant differences were found between EO and EC 157 (ps > 0.2). Subsequently, the functional images were spatially normal- 158 ized to the standard MNI-152 template and re-sampled to a voxel size 159 of  $3 \times 3 \times 3$  mm<sup>3</sup>. The waveform of each voxel was finally passed 160 through a band-pass filter (0.01-0.08 Hz) to reduce the effects of low- 161 frequency drift and high-frequency physiological noise. 162

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## Construction of brain functional networks

The functional connectivity matrix of each subject was constructed 164 based on the automated anatomical labeling (AAL) (Tzourio-Mazover 165 et al., 2002), which parcellated the brain into 90 regions of interest 166 (ROIs; Table S1). The mean time series of each ROI was calculated by av- 167 eraging the time series of all voxels within that ROI. The effects of head- 168 motion profiles and global signal were regressed out with multiple lin- 169 ear regression analyses as described in previous studies (Fox et al., 170 2005; Van Dijk et al., 2012; Wang et al., 2009). Given that the impact 171 of global signal regression (GSR) is important and its contributions, in- 172 tensely debated (Chai et al., 2012b; Fox et al., 2009; Murphy et al., 173 2009; Van Dijk et al., 2010; Weissenbacher et al., 2009), we repeated 174 the data analysis without GSR to check the reliability of the results (Sup- 175 plementary materials). Regression residuals were then substituted for 176 the raw mean time series of the corresponding ROIs. Pearson's correla- 177 tion between the residual time series of each pair of the 90 ROIs was 178 calculated to obtain a symmetric correlation matrix, the functional con-179 nectivity matrix for each subject. Finally, all elements of the correlation 180 matrix were truncated and binarized by using a pre-selected value of 181 sparsity (the ratio between total number of edges and the maximum 182 possible number of edges in a network). To ensure that the brain net- 183 works under EO and EC had the same number of edges, each correlation 184 matrix was set to different thresholds over a specific range of sparsity 185 (see the Results section), where prominent small-world properties in 186 brain networks were observed (Watts and Strogatz, 1998b). For each 187

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given sparsity, we obtained an undirected binarized network, in which Statistical analysis

nodes represented brain regions and edges represented links between 189 brain regions. Graph theory was then applied to analyze the topological 190 191 organization of functional brain networks.

192Network analysis

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Global properties of functional brain networks 193

Graph theory can be used to characterize the brain functional net-194 195works quantitatively (Bullmore and Sporns, 2009; Hagmann et al., 2008; He and Evans, 2010). Here, six network parameters: clustering 196 197 coefficient  $(C_n)$ , characteristic path length  $(L_n)$ , normalized clustering coefficient ( $\gamma$ ), normalized shortest path length ( $\lambda$ ), global efficiency 198  $(E_{glob})$ , and local efficiency  $(E_{loc})$ , were used to characterize the global 199topological properties of brain networks. The definitions and descrip-200 tions of  $C_p$  (Watts and Strogatz, 1998b),  $L_p$  (Newman, 2003),  $E_{glob}$ 201 (Latora and Marchiori, 2001), and  $E_{loc}$  (Latora and Marchiori, 2001) 202 can be found in the Appendix and in Rubinov and Sporns (2010). 203

The small-world property of a network can be characterized by both 204the normalized clustering coefficient  $\gamma = \frac{C_p^{real}}{C_q^{real}}$  and the normalized charac-205teristic path length  $\lambda = \frac{L_p^{real}}{L_p^{rand}}$  (Watts and Strogatz, 1998b).  $C_p^{real}$  and  $L_p^{rand}$ 206

are the clustering coefficient and the characteristic path length of the real brain networks, and the  $C_p^{rand}$  and  $L_p^{rand}$  represent the mean indices 207 208 209 derived from matched random networks (100 matched random 210 networks were selected). The benchmark random networks were constructed in a way that preserved the same number of nodes, edges, 211 and degree distribution as the real brain networks (Maslov and 212 213 Sneppen, 2002; Milo et al., 2002). Considering that correlation networks 214 are inherently more clustered than the nodes and degree matched ran-215 dom networks, the Hirschberger–Qi–Steuer algorithm (H–Q–S; Zalesky 216 et al., 2012) was performed to verify the results. Typically, a smallworld network should meet the following criteria:  $\gamma > 1$  and  $\lambda \approx 1$ 217 (Watts and Strogatz, 1998b), or  $\sigma = \frac{\gamma}{\lambda} > 1$  (Humphries et al., 2006). 218

Regional properties of functional brain networks 219

In this study, three nodal parameters, degree (D) (Sporns and Zwi, 220 2004), nodal efficiency ( $E_{nod}$ ) (Achard and Bullmore, 2007), and be-221 222tweenness centrality (BC) (Freeman, 1977), were adopted to characterize the regional properties of the functional networks. Their definitions 223and descriptions are listed in Table A in the Appendix (see also Rubinov 224 and Sporns, 2010). The nodal characteristics of the brain networks mea-225226 sure the extent to which a given node connects to all other nodes of a network and may indicate the importance of specific brain areas in 227228 the network (Achard and Bullmore, 2007; He et al., 2008).

Integrated network parameters 229

In order to compare condition-related differences of topological 230properties between brain functional networks regardless of the selec-231232tion of specific thresholds, we calculated the integrated global parameters of the networks and the integrated regional nodal parameters of 233234node *i* as summations (Tian et al., 2011) (Table A). These integrated regional nodal parameters were used to identify network hubs and to per-235form further statistical analyses. 236

#### Hub identification 237

Hubs refer to highly connected nodes in a network. In order to deter-238mine the hubs in the functional networks, we first calculated the nor-239malized nodal parameter for each node (Table A), then we identified 240 node *i* as a hub if any of its three nodal parameters  $NS_{nod}$  (*i*) satisfied 241 the criteria (Table A). According to the above description, we deter-242mined the hubs of the functional neural networks corresponding to 243244 EO and EC, respectively.

## Network parameters

The integrated network parameters were used for t statistical com- 247 parison. Paired t-tests were performed to detect significant differences 248 for any of the six global network parameters (p < 0.05) or the three re- 249 gional nodal parameters (p < 0.05, uncorrected) between the EO and EC 250 states. 251

Inter-regional functional connectivity

To localize the specific connections altered by EO and EC, inter- 253 regional functional connectivity analysis was conducted. To control for 254 the family-wise error rate, we applied a network-based statistic method 255 (NBS; Zalesky et al., 2010a) for the connectivity matrices. A primary 256 cluster-defined threshold (p = 0.001) was used to define a set of 257 supra-threshold connections among which any connected component 258 and its size (number of links) were determined. To estimate the signif- 259 icance for each component, we empirically derived the null distribution 260 of connected component size using a nonparametric permutation ap- 261 proach (1000 permutations). For each permutation, the two states (EO 262 and EC) were randomly re-allocated within each subject and a one- 263 tailed, paired t-test was computed independently for each link. Then 264 the same primary threshold (p = 0.001) was used to generate supra- 265 threshold links, among which the maximal connected component size 266 was recorded. Finally, for a connected component of size M found in 267 EO/EC, the corrected *p*-value was determined by finding the proportion 268 of the 1000 permutations for which the maximal connected component 269 was larger than M. 270

### Results

## Global properties of the functional brain networks

Fig. 1 shows the changes of topological parameters over a wide 273 range of sparsity (0.10-0.28) for the brain functional networks corre- 274 sponding to EO and EC. Because topological properties of the obtained 275 networks are affected by the choice of a specific sparsity value, setting 276 a specific sparsity as the threshold can ensure that the networks corre- 277 sponding to each subject have the same number of edges. To balance the 278 prominent small-world attribute and the appropriate sparseness in 279 brain functional networks across subjects, we set a series of threshold 280 values for sparsity in the range of 0.10–0.28 at an interval of 0.01. This 281 range of sparsity allows prominent small-world properties in brain net- 282 works to be observed (Watts and Strogatz, 1998a). 283

With the increase of sparsity, both the values of  $\gamma$  and  $\lambda$  decreased 284 monotonically. However,  $\gamma$  is much greater than 1 (Fig. 1C) whereas  $\lambda$  285 approaches 1 (Fig. 1D) in brain functional networks under EO and EC 286 states. According to Watts and Strogatz (1998b), both of the two sets 287 of networks exhibited small-worldness ( $\gamma > 1$  and  $\lambda \approx 1$ ) in the 288 range of  $0.10 \le$  sparsity  $\le 0.28$ . In the present study, we considered 289 only the functional networks in  $0.10 \le$  sparsity  $\le 0.28$  due to their 290 prominent small-worldness. The network efficiency analysis also dem- 291

onstrated the small-world configurations  $(\frac{E_{loc}^{real}}{E_{loc}^{rod}} > 1 \text{ and } \frac{E_{glob}^{real}}{E_{golb}^{rod}} \approx 1$ , Figs. 1G and H) in brain functional networks under EO and EC states.

Fig. 2 shows the integrated global parameters of the functional net- 294 works under the EO and EC states. Paired t-tests revealed significant dif- 295 ferences on the integrated global network parameters ( $C_p$ ,  $\lambda$ ,  $E_{loc}$  and 296  $E_{glob}$ ) between EO and EC (p < 0.05, Table 1). Compared to EC, the func- 297 tional networks under EO showed significantly greater  $C_p$  (t = 3.79, 298 p < 0.01, Figs. 1A and 2),  $\lambda$  (t = 2.54, p < 0.02, Figs. 1D and 2), and 299  $E_{loc}$  (t = 4.11, p < 0.01, Figs. 1E and 2), but significantly smaller  $E_{glob}$  300 (t = -2.10, p < 0.05, Figs. 1F and 2). No significant differences be- 301 tween the two states were found on  $L_p$  (t = 1.95, p = 0.06, Figs. 1B 302 and 2) or  $\gamma$  (t = 0.37, p = 0.71, Figs. 1C and 2). Given that correlation 303 networks are inherently more clustered than the node and degree 304

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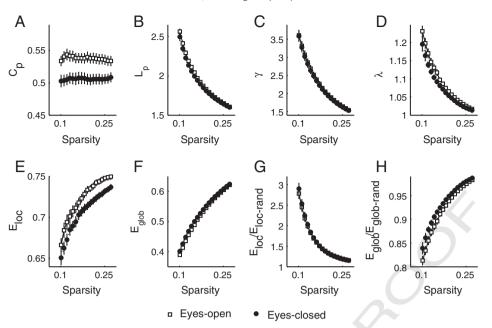


Fig. 1. Topological parameters of the human brain functional networks under eyes-open and eyes-closed changing with the sparsity. The square and circle correspond to the mean value of eves-open and eves-closed, respectively, and error bars to the standard error of the subject group in each state. At a wide range of sparsity (0.10–0.28), both of the networks showed  $\gamma > 1$ and  $\lambda \approx 1$ ,  $E_{loc}/E_{loc.rand} > 1$ , and  $E_{glob}/E_{glob.rand} \approx 1$ , which implies that the functional networks exhibit small-world properties. Abbreviations:  $C_p$ , clustering coefficient;  $L_p$ , characteristic path length;  $\gamma$ , normalized clustering coefficient;  $\lambda$ , normalized shortest path length;  $E_{loc}$  local efficiency;  $E_{glob}$ , global efficiency.

matched random networks, the H-Q-S algorithm revealed that the dif-305 306 ferences between eyes-open and eyes-closed on  $\gamma$  was significant 307 (t = 3.77, p < 0.01) but differences in  $\lambda$  were not significant (t = 1.21, p < 0.01)p = 0.24). 308

#### Regional properties of the functional brain networks 309

#### Hub regions 310

Based on the three regional nodal parameters, D,  $E_{nod}$ , and BC, we 311 found ten common hubs shared in functional networks corresponding 312 to both EO and EC. These common hubs mainly include regions belong-313 ing to the arousal system (bilateral insula (INS), bilateral rolandic oper-314 culum (ROL), and right thalamus (THA.R) (Critchley, 2004; Critchley 315 316 et al., 2011)), and motor system (right precentral gyrus (PreCG.R) and right supplementary motor area (SMA.R)) (Rizzolatti and Luppino, 317 318 2001), somatosensory system (right postcentral gyrus (PoCG.R)) (Fox 319 et al., 1987), and other regions such as bilateral superior temporal

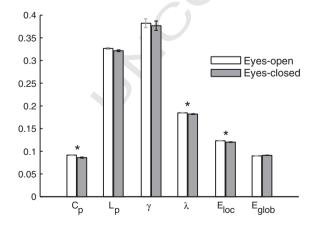


Fig. 2. Integrated global parameters of the brain functional networks corresponding to eyes-open (EO) and eye-closed (EC). Compared to EC, the values of  $C_p$  (p = 0.001),  $\lambda$  (p = 0.019), and  $E_{loc}$  (p = 0.000) were significantly higher, while the value of  $E_{glob}$ (p = 0.048) was significantly lower in EO. The symbol <sup>\*\*</sup> indicates a significant difference in the global parameter between the two states.

gyrus (STG) (Fig. 3A; Table S2). These ten common hubs for EO and 320 EC are indicated by green spheres. In addition, twelve hubs specific to 321 the functional networks of EO were detected, represented by the red 322 color spheres in Fig. 3A. These hub regions were mainly located in re- 323 gions related to the oculomotor system (PreCG.L) (Nobre et al., 1997), 324 attentional system (left superior parietal gyrus (SPG.L), left inferior 325 parietal lobule (IPL.L)) (Fan et al., 2005), arousal system (THA.L) 326 (Critchley, 2004), and other regions such as bilateral supramarginal 327 gyrus (SMG), bilateral opercular inferior frontal gyrus (IFGoperc), left 328 medial superior frontal gyrus (SFGmed.L), left middle temporal gyrus 329 (MTG.L), right inferior temporal gyrus (ITG.R), and right inferior 330 orbitofrontal cortex (ORBinf.R). We also identified eleven hubs specific 331 to the functional networks of EC, which are shown as blue color spheres 332 in Fig. 3B (Table S2). These eleven hubs were mainly located in the visu- 333 al system (left lingual gyrus (LING.L), right fusiform gyrus (FFG.R)) (Van 334 Essen, 1979), somatosensory system (PoCG.L) (Fox et al., 1987), part of 335 the default mode network (bilateral anterior cingulate gyrus (ACG), and 336 right angular gyrus (ANG.R)) (Raichle et al., 2001; Van Dijk et al., 2010), 337 and other regions such as bilateral superior temporal pole (TPOsup), bi- 338 lateral middle frontal gyrus (MFG), right caudate (CAU.R). 339

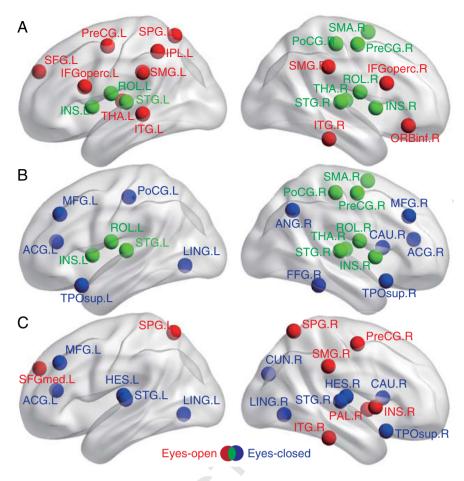
Table 1      Significant differences in integrated global network parameters between eyes-open and eyes-closed revealed by the paired <i>t</i> -test.					t1.1 t1.2 t1.3
	Eyes open	Eyes closed	Eyes open-eyes closed		t1.4
	$Mean \pm SD$	$Mean \pm SD$	t-Value	p-Value	t1.5
Cp	$0.091 \pm 0.005$	$0.086\pm0.006$	3.789	0.001	t1.6
$L_p$	$0.327 \pm 0.009$	$0.322 \pm 0.009$	1.953	0.064	t1.7
Ŷ	$0.382 \pm 0.047$	$0.377 \pm 0.049$	0.372	0.714	t1.8
λ	$0.185 \pm 0.004$	$0.182 \pm 0.004$	2.544	0.019	t1.9
$E_{loc}$	$0.123 \pm 0.003$	$0.120 \pm 0.004$	4.112	0.000	t1.10
Eglob	$0.090 \pm 0.002$	$0.091 \pm 0.002$	-2.095	0.048	t1.11

Note:  $C_p$ ,  $L_p$ ,  $\gamma$ ,  $\lambda$ ,  $E_{loc}$  and  $E_{glob}$  denote the clustering coefficient, characteristic path length, t1.12 normalized clustering coefficient, normalized shortest path length, local efficiency, and t1.13 global efficiency, respectively. Significant effects (p < 0.05) are indicated by bold text. t1.14 With the Hirschberger-Qi-Steuer (H-Q-S) algorithm, the differences between eyest1.15 open and eyes-closed in  $\gamma$  was significant (t = 3.767, p = 0.001) and in  $\lambda$  was not significant (t = 1.16icant (t = 1.206, p = 0.241). t1.17

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Eglob

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**Fig. 3.** Plots of nodal characteristics of functional neural networks rendered on the cortical surface. (A) Hub regions of the functional neural networks under eyes-open. The spheres in red indicate the hub regions specific to eyes-open, and those in green indicate the hub region shared in both eyes-open and eyes-closed. (B) Hub regions of the functional neural networks under eyes-closed. The spheres in blue indicate the hub regions specific to eyes-closed, and those in green indicate the hub region shared in both eyes-open and eyes-closed. (C) Brain regions showing significant differences in the integrated regional nodal parameters between eyes-open and eyes-closed. The spheres in red (blue) indicate the regions with significant higher (lower) value of nodal parameters (degree, or nodal efficiency, or betweenness centrality) under eyes-open compared with eyes-closed. The threshold was p < 0.05 (uncorrected). Nodes are mapped onto the cortical surfaces using BrainNet Viewer software (Xia et al., 2013).

## 340 Differences of nodal characteristics between EO and EC

341 Paired t-tests showed that eight brain regions exhibited significantly increased integrated nodal parameters  $(D, E_{nod}, \text{ or } B)$  in the EO com-342 pared to EC (p < 0.05, uncorrected). These brain regions were mainly lo-343 cated in the oculomotor system (PreCG.R), attentional system (bilateral 344 SPG) (Corbetta and Shulman, 2002; Fox et al., 2005, 2006), arousal sys-345346 tem (INS.R) (Craig, 2009) and other regions such as ITG.R, SMG.R, SFGmed.L, and right pallidum (PAL.R) (red spheres, Fig. 3C; Table S3). 347 Meanwhile, we also found that eleven brain regions showed significant 348 increased integrated nodal parameters (D,  $E_{nod}$ , and BC) in EC compared 349to EO (p < 0.05, uncorrected). These brain regions were mainly involved 350 351with the visual system (bilateral LING, right cuneus (CUN.R)), auditory 352system (bilateral Heschl's gyrus (HES)) (Binder et al., 1994; Nobre et al., 1997), part of the default mode network (ACG.L) (Raichle et al., 3532001; Van Dijk et al., 2010), and other regions (bilateral STG, CAU.R, 354MFG.L, and TPOsup.R) (blue spheres, Fig. 3C; Table S3). 355

## 356 Inter-regional functional connectivity

The network-based statistical method (NBS) revealed that 20 connections were significantly more correlated but 51 connections were significantly less correlated under EO than under EC (Fig. 4; Table S4). The one-tailed paired *t*-tests revealed three patterns of connectivity corresponding to EO > EC: positive correlations in both EO and EC, negative correlations in both EO and EC, positive correlations in EO but negative correlations in EC. Similar patterns were found in EO < EC by onetailed paired *t*-tests. Compared to EC, specifically, the more correlated 364 connections under EO included seven increased positive connections, 365 two decreased negative connections, and six connections positive in 366 EO but negative in EC. These increased connections included five within 367 the visual system and two between visual and attention systems. The 368 two decreased negative connections were located between language 369 (Friederici et al., 2003) and motor systems. The six connections that 370 were positive in EO but negative in EC consisted of two between visual 371 and arousal systems, two between visual and subcortical regions, one 372 between visual and language systems, and one between visual and emo-373 tion systems (Bechara et al., 2000). Additionally, the lower correlated 374 connections under EO compared to EC included eleven decreased posi- 375 tive connections and forty negative connections in EO but positive in 376 EC. The decreased positive connections included three between visual 377 and somatosensory systems, five between visual and motor systems, 378 two between visual and auditory systems (Celesia, 1976; Howard 379 et al., 2000), and one between visual and language systems. The negative 380 connections in EO but positive in EC included ten between visual and 381 motor systems, thirteen between visual and somatosensory systems, 382 thirteen between visual and auditory systems, and one between visual 383 and language systems. 384

## Discussion

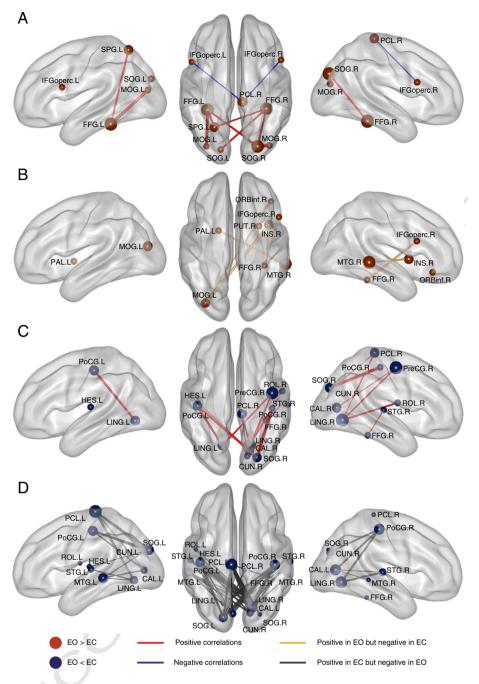
Although previous studies have attempted to investigate different 386 neural presentations of exteroceptive and interoceptive states by 387

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**Fig. 4.** Plots of the inter-regional functional connections showing significant differences between eyes-open (EO) and eyes-closed (EC). (A) EO > EC, positive (in red) and negative (in blue) correlations for EO compared to EC. (B) EO > EC, correlations that are positive in EO but negative in EC. (C) EO < EC, positive correlations in both EO and EC. (D) EO < EC, correlations that are negative in EO but positive in EO. The nodal size is proportional to the number of links of the node, and the line width was proportional to *t*-value of the connection. Nodes and connections are mapped onto the cortical surfaces using BrainNet Viewer software (Xia et al., 2013).

manipulating the orientation of attention (Farb et al., 2013; Simmons 388 et al., in press), the easiest way to control the direction of visual atten-389 tion that also balances task difficulty, is simply eyes opened versus 390 eyes closed, an approach which has been largely overlooked. Although 391 previous fMRI studies have found different influences of EO and EC on 392 regional brain activity (Marx et al., 2003, 2004; Wiesmann et al., 2006; 393 Yang et al., 2007) and functional connectivity (Van Dijk et al., 2010; 394 Yan et al., 2009; Zou et al., 2009), the topological organizations of the 395 whole brain networks and the corresponding information processing 396 modes underlying these two states had not yet been identified. 397

Given that the small-world model supports both specialized and integrated information processing in the brain (Bassett and Bullmore, 2006; Sporns et al., 2004), we adopted graph theoretical approaches 400 to investigate the organizations of brain networks under exteroceptive 401 and interoceptive states with the manipulation of EO and EC. Our results 402 showed that the brain functional networks for both EO and EC exhibited 403 small-world properties, which supported recent findings of brain net-404 works (for a review, see Wang et al., 2010). Thus, this study provided 405 further evidence that functional brain networks exhibit robust small-406 world properties regardless of the selection of resting conditions, 407 eyes-closed or eyes-open. More importantly, we provided evidence 408 that the topological organizations corresponding to information pro-409 cessing modes of the human brain under the exteroceptive and intero-410 ceptive states are somewhat different; there are both domain-general 411

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and domain-specific nodes. The domain-specific nodes seem to relate to
 specialization of information processing and integration of information
 processing.

415 Increased specialized information processing in EO relative to decreased 416 integrated information processing in EC

There are two major information processing modes in the functional 417 418 organization of the brain: specialized information processing and integrated information processing, which serve to generate and integrate 419 420 information from external and internal sources, respectively (Friston, 421 2002; Tononi et al., 1998; Zeki and Shipp, 1988). Since information pro-422 cessing occurs in real time (Sporns and Kötter, 2004), this study ex-423 plored how the characteristic features and efficiency of the two primary information processing modes might be altered if afferent visu-424al information was attenuated by closing the eyes. 425

Consistent with a previous R-MRI study which found higher regional 426 spontaneous activities in EO (Yan et al., 2009), our results showed 427 higher  $C_p$  and  $E_{loc}$ , which represents increased specialized information 428 processing when the eyes are open. This suggests that during EO, 429non-specific or non-goal-directed information may be gathered and 430 evaluated in the brain automatically (Yan et al., 2009). Similar to previ-431 432 ous findings of EEG desynchronization (Barry et al., 2007; Chen et al., 433 2008), we also found higher  $\lambda$  and lower  $E_{glob}$  in EO, which indicated the reduced integrated information processing of the functional net-434 works. The connectivity findings further revealed that increased spe-435cialized information processing, but decreased integrated information 436 437 processing pattern in the EO, may result from more specific connections within the visual system (such as MOG.R-SOG.R) and less connections 438 between systems (such as the connection between visual and somato-439sensory systems (e.g., LING-PreCG.R)) under EO relative to EC. The 440 441 efficiency of information processing under EC may be disturbed or suppressed by EO (Niven and Laughlin, 2008), which reflects the higher 442443functional specialization of EO (Nir et al., 2006). Our findings demonstrate that there is a modulation of increased specialized information 444 processing but decreased integrated information processing from EC 445 to EO, underlying the shift from interoceptive towards exteroceptive 446 447 state

448 Interoceptive network in EO and exteroceptive network in EC

449 As previously proposed (Marx et al., 2003), there are two mental states at opposite extremes of one another, an "exteroceptive" state 450 characterized by attention and oculomotor system activity under the 451EO state, and an "interoceptive" state characterized by imagination and 452 453multisensory integration under the EC state (Hufner et al., 2009; Marx 454et al., 2004; Wiesmann et al., 2006). Our findings, which add evidence based on the nodal properties of neural networks, further support this 455proposition that there are two distinct networks underlying these two 456states. One is the "exteroceptive" network, composed of the oculomotor 457system (PreCG and SFG), attentional system (SPG and IPL) (Corbetta and 458459Shulman, 2002; Fox et al., 2005, 2006), and the arousal system (INS, ROL 460 and THA) (Critchley, 2004; Critchley et al., 2011). This network is specific to EO, underlying the exteroceptive state for alertness and readiness 461 (Fransson, 2005; McAvoy et al., 2008). The other is the "interoceptive" 462 network, which mainly includes the visual system (LING., FFG, and 463 464 STG), auditory system (HES), somatosensory system (PoCG), and part of the default mode network (ANG and ACG) (Raichle et al., 2001; Van 465Dijk et al., 2010). This network is specific to EC, underlying introspective 466 state for imagination and recall of sensory experiences (Hufner et al., 467 2009; Marx et al., 2003). 468

The present findings were consistent with previous investigations in showing that both the activation of the visual system (mainly including the extrastriate body area, such as LING) (Bianciardi et al., 2009; Hufner et al., 2008, 2009; Marx et al., 2003, 2004) and the connections between visual (mainly including the extrastriate body area) and motor system (such as LING-PreCG.R) (Nir et al., 2006; Wiesmann et al., 2006; Zou 474 et al., 2009) are attenuated under EO compared to EC. Given that the 475 extrastriate body area (such as LING) and premotor cortex (such as 476 PreCG) are associated with body identity and body actions, respectively 477 (Astafiev et al., 2004; Downing et al., 2001; Urgesi et al., 2007), one possible interpretation is that the subjects were imagining body motion 479 during the suppression of natural urges in EC. 480

### Cross-sensory modality connections are altered in EO/EC

Stronger coupling of the visual system with motor, somatosensory 482 and auditory system may indicate high synchronization across sensory 483 modalities during EC. Given that LING and FFG are believed to play an 484 important role in visual imagery and memory (Bogousslavsky et al., 485 1987; Machielsen et al., 2000), this higher coupling may be the result 486 of non-specific imagination leading to the recall of sensory experiences 487 during EC (Damasio, 1996; Marx et al., 2003). This cross-modality 488 synchronism was attenuated and the connections among visual and 489 attention, arousal, and subcortical systems were increased with eyes 490 opening; this may indicate that EO leads to a suppression of sensory mo- 491 dalities other than vision to capture more resources and energy for ex- 492 teroceptive processing (Bianciardi et al., 2009; Niven and Laughlin, 493 2008). These connectivity findings, consistent with network metrics, 494 further suggest a switch in information processing mode from highly in- 495 tegrated (EC) to highly specialized (EO). 496

It is worth mentioning that a recent study reported no evidence of 497 differences in topological organization of brain functional networks be-498 tween EO and EC (Jao et al., 2013). They did find widespread differences 499 between EO and EC on the spontaneous activity and functional connec-500 tivity of brain, but there were no apparent differences on whole brain 501 topological organization other than the connection distance, an index 502 of the information processing of a network (Sepulcre et al., 2010), be-503 tween EO and EC. Possible explanations for the discrepancy between these two studies are as follows: (1) GSR was used in the present 505 study but not the previous one, (2) the parcellation templates were different, and (3) the spatial resolutions were different (data acquisition parameters). 508

Global variations of the BOLD signal are often considered nuisance 509 effects and are commonly removed as a covariate in a regression 510 model. Thus, GSR is widely used to remove noise generated by the scan- 511 ner (such as signal drifting and spikes). There is much debate about its 512 utility in fMRI data pre-processing (Chai et al., 2012a; Fox et al., 2009; 513 Murphy et al., 2009; Weissenbacher et al., 2009), because it centers 514 the distribution of correlations around zero and may introduce spurious 515 correlations (Saad et al., 2012). The concern is especially relevant when 516 interpreting negative correlations, since they may be solely the result of 517 GSR, rather than reflecting true neuronal activation/deactivation 518 (Murphy et al., 2009). Though, it is worth noting that recent electro- 519 physiological work indicates wide-spread positive correlations across 520 nearly the entire cortex (Scholvinck et al., 2010). These wide-spread 521 correlations may require mitigation to examine local network proper- 522 ties. Additionally, recent work examining the impact of standardization 523 procedures (e.g., GSR and mean subtraction) for motion artifacts on 524 connectivity patterns, suggests that some normalization procedure 525 (e.g., GSR) is better than none (e.g., no GSR) (Yan et al., 2013) (PMID 526 23631983). Given these issues, we analyzed the data both with and 527 without GSR. Our results showed that differences in negative correla- 528 tions disappeared without GSR, but the pattern of main results and con- 529 clusion remained (Supplementary materials). Compared with results 530 without GSR, our results with GSR were more consistent with previous 531 results (Hufner et al., 2008; Marx et al., 2003, 2004; Nir et al., 2006; 532 Wiesmann et al., 2006). That is, our results with GSR were more sensi- 533 tive to the differences between EO and EC compared to those obtained 534 without GSR, which is also in consistent with the results of Fox et al. 535 (2009). Therefore, GSR is one possible reason for the discrepancy be- 536 tween our findings and those of Jao et al. (2013). 537

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Given the important impact of different parcellation strategies (espe-538 539 cially the spatial scale of the nodal parcellation) on topological parameters (e.g. small-worldness and efficiency) of functional brain networks 540541(Power et al., 2011; Wang et al., 2009; Wig et al., 2011; Zalesky et al., 2010b), another potential explanation for the current discrepancy is 542the different parcellation templates. Although the topological parameters 543vary considerably as a function of spatial nodal scale (Zalesky et al., 5442010b), it is hard to identify a specific nodal scale that maximize sensitiv-545546ity to differences of topological organizations between conditions. For ex-547ample, lower spatial resolution enhances signal-to-noise ratio of the time 548series at each region, which in turn adds noise to the inter-regional connectivity matrix. One possible explanation is that the sub-sampling of the 549anatomically based AAL template disturbs both intra- and inter-regional 550551connections, which reduced the sensitivity to test the differences between EO and EC by Jao et al. (2013). Another explanation is that the 552 higher spatial resolution in data acquisition increased the sensitivity in 553 our study. These two possibilities are not mutually exclusive. Building 554on Jao et al.'s (2013) findings, the present study uses a more sensitive 555parcellation template and higher spatial resolution, which may further 556clarify the influence of volitional eye opening on the spontaneous activity 557of the brain. Taken together, volitional eve opening influences not only 558the spontaneous activity of the isolated brain regions and the inter-559560regional function connectivity, but also the functional integration of multiple brain regions and whole brain topological organizations. 561

Although EO and EC correspond to exteroceptive and interoceptive 562states respectively (Marx et al., 2003) and these correspondences are sup-563ported by both the current results and previous findings (Bianciardi et al., 5645652009; Brandt, 2006; Hufner et al., 2008, 2009; Marx et al., 2004; McAvoy et al., 2008, 2012; Niven and Laughlin, 2008), it potentially oversimplifies 566 the relationship between EO/EC and exteroceptive/interoceptive states. 567568 We can neither argue that interoceptively oriented attention is absent 569under EO (Farb et al., 2013), nor that exteroceptively oriented attention 570is absent under EC (Fransson, 2005). More elaborate manipulations of attentional orientation should be conducted in the future to address 571this question (Farb et al., 2013; Simmons et al., in press). Since skin 572

conductance is a sensitive psychophysiological index of bodily state 573 (Critchley, 2002; Critchley et al., 2004) and is highly correlated with spon-574 taneous brain activity (Fan et al., 2012), skin conductance indices could 575 shed further light on interoceptive and exteroceptive processing. 576

In summary, EO and EC were evaluated during resting state, with- 577 out any top-down attentional manipulation, such as visual fixation 578 (Bianciardi et al., 2009; Yang et al., 2007) or attentional orientation 579 (Farb et al., 2013; Simmons et al., in press). Thus, the only differences 580 between EO and EC were visual sensory information and subjective/ 581 objective state characteristics of EO and EC. Therefore, we speculate 582 that the eyes act as a toggle between an exteroceptive network and in- 583 teroceptive network rather than simply a gate of visual sensory infor- 584 mation (Burton et al., 2004; Hufner et al., 2009). Having the eyes open 585 or closed modulates a shift between prominently exteroceptive net- 586 work activity and prominently interoceptive network activity, respec- 587 tively. This shift, from EO to EC also corresponds to an information 588 processing mode of more specialized towards more integrated. Taking 589 into account the wide applicability of the R-fMRI and graph-based anal- 590 vsis to various studies, our findings also suggested that the choice of the 591 resting condition (either eyes closed or eyes open) is an important fac-592tor to be carefully considered given different research objectives. 593

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The authors declare no competing financial interests.

## Appendix A

#### t2.1 Table A

Expressions and descriptions of the network parameters applied in this study. t2.2

Network parameters Definitions Descriptions t2.3  $C_P = \frac{1}{N} \sum_{i \in G} \frac{K_i}{D(i)(D(i)-1)/2}$  $L_P = \frac{1}{\frac{1}{\frac{1}{N(N-1)}\sum_{j \neq i \in G} \frac{1}{L_{ij}}}$ Clustering coefficient  $C_n$  measures the local cliquishness of a network G with N nodes and K edges.  $K_i$  is the number of edges in G (i), t2.4  $(C_p)$ the subgraph consisting of the neighbors of node *i*. Characteristic path length  $L_p$  measures the overall routing efficiency of the network.  $L_{ij}$  is the shortest path length between nodes i and j. t2.5  $(L_n)$ Global efficiency  $E_{glob} = \frac{1}{N(N-1)} \sum_{j \neq i \in G} \frac{1}{L_{ij}}$  $E_{\text{glob}}$  measures the extent of information propagation through the whole network. t2.6  $(E_{glob})$  $E_{loc} = \frac{1}{N} \sum_{i \in G} E_{glob}(i)$  $E_{loc}$  measures the mean local efficiency of the network. Local efficiency t2.7  $(E_{loc})$  $D(i) = \sum_{\substack{j \neq i \in G}} e_{ij}$ D (i) measures the connectivity of node i with the rest of the nodes in a network, e<sub>ij</sub> is the (i, j)th element t2.8 Degree in the formerly obtained binarized correlation matrix. (D) 
$$\begin{split} E_{nod}(i) &= \frac{1}{N^{-1}} \sum_{j \neq i \in G} \frac{1}{L_{ij}} \\ BC(i) &= \sum_{j \neq i \neq k \in G} \frac{\delta_{jk}(i)}{\delta_{jk}} \end{split}$$
 $E_{nod}$  (*i*) measures the ability of information transmission of node *i* in the network. Efficiency t2.9  $(E_{nod})$ t2.10 Betweenness centrality BC (i) measures the influence of node i over information flow between other nodes in the whole network. (BC) $\delta_{ik}$  is the number of the shortest paths from node *j* to node *k*, and  $\delta_{ik}$  (*i*) is the number of the shortest paths from node *j* to node *k* that pass through node *i* within the network *G*. t2.11 Integrated global parameters  $S_{glob}$  measures the area under curve (AUC) of each global network parameter ( $C_p$ ,  $L_p$ ,  $\gamma$ ,  $\lambda$ ,  $E_{glob}$ , and  $E_{loc}$ ).  $S_{glob} = \sum_{k=10}^{28} S(k \cdot \Delta s) \Delta s$  $S(k \cdot \Delta s)$  represents any of the global parameters at the sparsity of  $k \cdot \Delta s$ , and  $\Delta s$  is the sparsity interval  $(S_{glob})$ of 0.01. The range of sparsity was selected from 0.01 to 0.28 ( $0.01 \le k \le 0.28$ , see the Results section) in the current study. 
$$\begin{split} S_{nod}(i) &= \sum_{k=10}^{28} S(i, k\Delta s) \Delta s \\ NS_{nod}(i) &= \frac{N \sum_{k=1}^{M} S_{nod}(i, k)}{\sum_{j=1}^{N} \sum_{k=1}^{M} S_{nod}(j, k)} \end{split}$$
 $S_{nod}$  measures the AUC of each nodal parameter (D,  $E_{nod}$ , and BC). S (i,  $k \cdot \Delta s$ ) represents any of the nodal t2.12 Integrated nodal parameters parameters of the node *i* at a sparsity of  $k \cdot \Delta s$ .  $(S_{nod})$ Normalized nodal parameters  $NS_{nod}$  is the normalized integrated nodal parameters.  $S_{nod}$  (*i*, *k*) represents one of these three integrated t2.13 nodal parameters (D,  $E_{nod}$ , and BC) at node *i* for the network of subject k; N is the number of nodes and M is  $(NS_{nod})$ the number of subjects.  $NS_{nod}(i) > mean(S) + SD$ The criterion to identify the hub. The mean (S) stands for the averaged value of NSnod (i), and SD for the standard Hub identification criterion t2.14 deviation of NSnod (i) across all nodes of the network.

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**Conflict of interest** 

## <u>ARTICLE IN PRESS</u>

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## 605 Appendix B. Supplementary data

- 606 Supplementary data to this article can be found online at http://dx. 607 doi.org/10.1016/j.neuroimage.2013.12.060.

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